Approved for use through 10/31/2002/OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwo Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. RADEMAR Application Number 09/751,472 TRANSMITTAL 12/29/2000 **Filing Date FORM** Dinesh Mody **First Named Inventor** 3739 (to be used for all correspondence after initial filing) Group Art Unit Shay, David **Examiner Name** P028 + Refs Total Number of Pages in This Submission Attorney Docket Number **ENCLOSURES** (check all that apply) After Allowance Communication Assignment Papers Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Licensing-related Papers Appeal Communication to Group Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Other Enclosure(s) (please Extension of Time Request Address identify below): Terminal Disclaimer **Express Abandonment Request** Request for Refund Information Disclosure Statement CD, Number of CD(s). Postcard Certified Copy of Priority Document(s) Remarks RECEIVED Response to Missing Parts/

tion Missing Parts	JUL 0 7 2003				
R 1.52 or 1.53	TECHNOLOGY CENTER R3700				
SIGNATUI	JRE OF APPLICANT, ATTORNEY, OR AGENT				
Ross M. Carothers					
Ros M	1. 65				
	06/27/2003				
	Missing Parts R 1.52 or 1.53 SIGNATI	Missing Parts R 1.52 or 1.53  TECHNOLOGY CENTER R3700  SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  Ross M. Carothers  Ton M. C.			

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class

June 27, 2003

06/27/2003

mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20234 on this date:

Ross Carothers

Typed or printed name

Signature

Date

June 27, 2003

PTO/SB/17 (1-03)
Approved for use through 04/30/2003. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>3</b>					
FE TRANS	ANSMITTAL		Complete if Known		
	FOR FY 2003  e 01/01/2003. Patent fees are subject to annual revision.  ant claims small entity status. See 37 CFR 1.27	Application Number	09/751,472		
tor FY 20	)03	Filing Date	12/29/2000 Dinesh Mody		
Effective 01/01/2003. Patent fees are subj	iect to annual revision.	First Named Inventor			
Applicant claims small entity status.	See 37 CFR 1.27	Examiner Name	David Shay		
		Group Art Unit	3739		
TOTAL AMOUNT OF PAYMENT	(\$) \$180.0	Attorney Docket No.	P028		

Attorney Docket No.

METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)							
Check Credit card Money Other None			3. ADDITIONAL FEES							
Deposit Account:			Large Fee	Entity Fee	Smal Fee	<u>l Entity</u> Fee	·			
Deposit			<b>50.100</b>		Code	(\$)	Code	(\$)	Fee Description	Fee Paid
Account Number			1051	130	2051		Surcharge - late filing fee or oath			
Deposit			1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet			
Account Name			AFx inc	•	1053	130	1053	130	Non - English specification	
The Commiss	sioner is a	uthori	ized to: (check all t	that apply)	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge fee(s) indicated below Credit any overpayments					1804	920*	1804	920*	Requesting publication of SIR prior to Examine action	er .
Charge an	ny additon	al fee(:	s) during the pende	ency of this application	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner	
Charge fe	e(s) indica	ted be	elow, except for the	e filing fee	1051	110	2254		action  Extension for reply within first month	
to the above-ic	dentified d	eposit	account.		1251	110	2251		Extension for reply within first month	
	F	E C	ALCULATIO	N	1252	410 930	2252 2253		Extension for reply within second month  Extension for reply within third month	8
1. BASIC					1253	1,450	2253		Extension for reply within fourth month	H8270
Large Entity Fee Fe			ty Fee Description	n .		•	2255		Extension for reply within fifth month	
Code (\$)			ree Descripat	Fee Paid	l l	1,970			Notice of Appeal	
1001 750	2001	375	Utility filing fee		1401	320 320	2401		Filing a brief in support of an appeal	7 2003 CENTER I
1002 330	2002	165	Design filing		1402				7	
1003 520	2003	260	Plant filing fee		1403	280	2403		Request for oral hearing	CHNOLOGY CHNOLOGY
1004 750	2004	375	Reissue filing			1,510		1,510		
1005 160	2005	80	Provisional filin		1452	110	2452		Petition to revive - unavoidable	· <u>                                    </u>
SUBTOTAL (1) (\$) \$0.00					1,300	2453		Petition to revive - unintentional		
2. EXTRA CLAIM FEES FOR UTILITY AND			l	1,300	2501		Utility issue fee (or reissue)			
	E.	rtra C	laims	Fee from below Fee Paid	1502	470	2502		Design issue fee	
Total Claims		-20**		= 0,00	1503	630	2503		Plant issue fee	
Independent		- 3**		= 0.00	1460	130	1460		Petitions to the Commissioner	
Claims Multiple Dep	endent			=	1807	50	1807		Processing fee under 37 CFR § 1.17(q)	
Large Entity	Small	Entit	ty.		1806	180	1806	180	Submission of Information Disclosure Statement	180.00
Fee Fee Code (\$		Fee (\$)	Fee Des	cription	8021	40	8021	40	Recording each patent assignment per proper (times number of properties)	ly
1202 18	1	9	Claims in exce		1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1201 84 1203 280	1		•	aims in excess of 3 dent claim, if not paid	1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1204 84				ependent claims	1801	750	2801	375	Request for Continued Examination (RCE)	
over original patent		1802	900	1802	900	Request for expedited examination				
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent			Oth	of a design application Other fee (specify)						
SUBTOTAL (2) (\$) \$0.00										
**or number previously paid, if greater, For Reissues, see above			*Red	duced b	y Basi	Filing	Fee Paid SUBTOTAL (3) (\$)	\$180.00		
SUBMITTED BY Complete (if applicable)										
Name (Print	/Туре)		Ross M	. Carothers		Registr	ation N	0.	44,593 Telephone 510-65	1-7430

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on

Signature

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.